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Palo Alto, CA 94304-1104

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CORRECTED VERSION

FAX TRANSMITTAL SHEET

NO. OF PAGES (including this page): 9

TO:

Prema Maria Mertz

Art Unit:

1646

1070 (C74) 070 007

FAX:

(571) 273-0876

FROM:

Sheela Mohan-Peterson

DATE:

April 19, 2004

RE:

Docket No.: DX0644KBK

USSN: 10/083,720 Filed: 02/28/2002

Title: AK155 ANTIBODIES AND BINDING FRAGMENTS THEREOF (as amended)

Any difficulty with this facsimile, please call: Melanie Lyons at (650) 496-1183

Documents attached:

4	Transmittal	1 page
1	Fee Transmittal (in duplicate)	2 pages
		5 pages
3.	Amendment Number 3	- P

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Melanie Lvons

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PTO/SB/21 (03-03)
Approved for use through 04/30/2003, OMB 0651-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Under the Paperwork Reduction Act of	01 1995, NO PERSONS	Application Number	10/083,7	20		
TRANSMITTAL		Filing Date	02/28/2002			
FORM		First Named Inventor	Rene de Waal Malefyt			
		Art Unit	1646			
(to be used for all correspondence all	fter Initial filing)	Examiner Name	P. M. Mertz			
Total Number of Pages In This Submis	ssion 9	Attorney Docket Number	DX0644KBK			
	E	NCLOSURES (Check all the	at apply)			
X Fee Transmittal Form		Drawing(s)		After Allowa to Group	ance Communication	
Fee Attached		Licensing-related Papers	_	Appeal Com	nmunication to Board and interferences	
Amendment/Reply		Petition			mmunication to Group	
After Final	_	Petition to Convert to a Provisional Application		(Appeal No	otice, Brief, Reply Brief)	
Affidavits/declaration Extension of Time Request	(s)	Power of Attorney, Revocation Change of Correspondence Address	ss —	Proprietary Status Lette	Information	
Express Abandonment Requ	uest	Terminal Disclaimer X Request for Refund			osure(s) (please	
Information Disclosure State	ment				ow):	
Certified Copy of Priority		CD, Number of CD(s)				
		ks: endment Number 3 (5 pages) Transmittal Sheet (1 page)				
Response to Missing under 37 CFR 1.52.6	g Parts or 1,53					
	CICNATUS	RE OF APPLICANT, ATTORNE	Y. OR AGE	ENT		
Firm ONAX Research, Inc. 901 California Ave. Palo Alto, CA 94304-1104 Signature Date Sheela Mohan-Peterson, Reg. No. 41,201 DNAX Research, Inc. 901 California Ave. Palo Alto, CA 94304-1104 Date Signature Sheela Mohan-Peterson, Reg. No. 41,201 DNAX Research, Inc. 901 California Ave. Palo Alto, CA 94304-1104						
Date 19-A00 -	Date 19-App - 2004					
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Typed or printed Melanie	Lyons				T	
Signature 2/	la	Date April 1		April 19, 2004		
1 -		1/	- b	ae public which is	to file (and by the USPTO to	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Palant and Trademark Office. U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PTO/SB/17 (10-03)

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Under the Paperwork Reduction Act of 1995, no persons are require	Complete if Known			
FEE TRANSMITTAL	Application Number	10/083,720		
	Filing Date	02/28/2002		
for FY 2004	First Named Inventor	Rene de Waal Malefyt		
Effective 10/01/2003. Patent fees are subject to annual revision.	Examiner Name	P. Mertz		
Applicant claims small entity status. See 37 CFR 1.27	Art Unit	1646		
TOTAL AMOUNT OF PAYMENT (\$) 0	Attorney Docket No.	DX0644KBK		

FEE CALCULATION (continued)					
METHOD OF PAYMENT (check all that apply)	3. ADDITIONAL FEES				
Check Credit Card Other None	Large Entity Small Entity Fee Description Fee Pald				
X Deposit Account:	Fee Fee Fee Fee				
Deposit Account Number: 04-1239	Code (\$) Code (\$)				
Deposit Account Name:DNAX Research, Inc	1051 130 2051 65 Surcharge - late filing fee or oath				
The Commissioner is authorized to: (check all that apply)	1052 50 2052 25 Surcharge – late provisional filing fee or				
Charge fee(s) indicated below _X_ Credit any overpayments	cover sheet				
X Charge any additional fee(s) during the pendency of this	1053 130 1053 130 Non-English specification ——				
spplication X Charge fee(s) Indicated below, except for the filling fee to the	1812 2,520 1812 2,520 For filing a request for ex parts reexamination 1804 920* Requesting publication of SIR prior to				
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FEE CALCULATION	1 m Alam 4 DID -8-4				
1. BASIC FILING FEE	Examiner action				
Large Entity Small Entity Fee Description Fee Paid	1251 110 2251 55 Extension for reply within first month				
Fee Fee Fee Fee	1252 420 2252 210 Extension for reply within second month				
Code (\$) Code (\$)	1253 950 2253 475 Extension for reply within third month				
1001 770 2001 385 Utility filing fee 0	1254 1,480 2254 740 Extension for reply within fourth month				
1002 340 2002 170 Design filling fee	1255 2,010 2255 1,005 Extension for reply within fifth month				
1003 530 2003 265 Plant filing fee	1401 330 2401 165 Notice of Appeal				
1004 770 2004 385 Reissue filing fee	1402 330 2402 165 Filing a brief in support of an appeal				
1005 160 2005 80 Provisional filing fee	1403 290 2403 145 Request for oral hearing				
SUBTOTAL (1) \$ 0	1451 1,510 1451 1,510 Petition to Institute a public use proceeding				
	1452 110 2452 55 Petition to revive - unavoidable				
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE					
Extra Claims Below Fee Paid	1501 1,330 2501 665 Utility Issue fee (or reissue)				
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1000 00000	1503 640 2503 320 Plant issue fee —————————————————————————————————				
Independent 1 -3** = 0 X = Claims	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)				
Multiple Dependent =	1806 180 1806 180 Submission of Information Disclosure Stmt				
Large Entity Small Entity	8021 40 6021 40 Recording each patent assignment per				
Fee Fee Fee Fee Description	property (times number of properties)				
Code (\$) Code (\$)	1809 770 2809 385 Filing a submission after final rejection				
1202 18 2202 9 Claims in excess of 20	(37 CFR 1.129(a))				
1201 88 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid	1810 770 2810 385 For each additional invention to be				
**** Colone de Colone de Colone de Colone	examined (37 CFR 1.129(b))				
over original patent	1801 770 2801 385 Request for Continued Examination (RCE)				
1205 18 2205 9 ** Reissue claims in excess of 20	1802 900 1802 900 Request for expedited examination				
and over original patent	of a design application ———				
SUBTOTAL (2) \$ 0	Other fee (specify)				
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•• or number previously paid, if greater; For Reissues, see above	- Kaducad by Date Finish Lee Law				

(Complete (if applicable))					
SUBMITTED BY		Registration No. 41,201		Telephone 1-650-496-6400	
1. //	O UIII			Date	APR. 19, 2004
Signature 📈 🗸	wa yok. /LD			- 11	

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PAGE 3/9 * RCVD AT 4/19/2004 1:45:10 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-3/25 * DNIS:2730876 * CSID:650 496 1200 * DURATION (mm-ss):03-00

PTO/SB/17 (10-03)

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		Complete if Known			
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		Attorney Docket No.	DX0644KBK		

TOTAL AMOUNT OF PAYMENT (\$) 0	Attorney Cocket No. DAGGTTNER				
FEE CALCULATION (continued)					
METHOD OF PAYMENT (check all that apply)	3. ADDITIONAL FEES				
Check Credit Card Other None	Large Entity Small Entity Fee Description Fee Paid				
X Deposit Account:	Faa Faa Faa Fee				
Deposit Account Number: 04-1239	Code (\$) Code (\$)				
Deposit Account Name:	1051 130 2051 65 Surcharge – late filing fee or oath				
The Commissioner is authorized to: (check all that apply)	1052 50 2052 25 Surcharge – late provisional filing fee or				
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X Charge any additional fee(s) during the pendency of this	1053 130 1053 130 Non-English specification				
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FEE CALCULATION	Examiner action 1805 1,840* 1805 1,840* Requesting publication of SIR after				
1. BASIC FILING FEE	Examiner action ———				
Large Entity Small Entity Fee Description Fee Paid	1251 110 2251 55 Extension for reply within first month				
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2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	The state of the s				
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Extra Claims Below Fee Paid	1502 480 2502 240 Design Issue fee				
Total Claims <u>17</u> -20** = <u>0</u> X =	1503 640 2503 320 Plant issue fee				
Independent 1 -3** = 0 X = =	1460 130 1460 130 Petitions to the Commissioner				
Claims	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)				
Multiple Dependent =	1806 180 1806 180 Submission of Information Disclosure Stmt				
Large Entity Small Entity	8021 40 8021 40 Recording each patent assignment per				
Fee Fee Fee Fee Description	property (times number of properties)				
Code (\$) Code (\$) 1202 16 2202 9 Claims In excess of 20	1809 770 2809 385 Filing a submission after final rejection				
1201 86 2201 43 Independent claims in excess of 3	(37 CFR 1.129(a))				
1203 200 2203 145 Multiple dependent claim, if not paid	1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b))				
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SUBMITTED BY	Registration No			one 1-650-496-6400
Name (Print/Typs) Sheela Mohan-Peterson	Trogica 2007			APR. 19, 2004
Signature Sheela Yok The	l i a a m a mushilan	Crade eard infor	mation should no	

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